

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 35.C14215

First Named Inventor or Application Identifier

KAZUYUKI KURODA

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

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Box Patent Application
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1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification Total Pages 49

3. ☒ Drawing(s) (35 USC 113) Total Sheets 8

4. ☒ Oath or Declaration Total Pages 2

a. ☐ Newly executed (original or copy)

b. ☒ Unexecuted for information purposes

c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4c is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4c, is considered as being
part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

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ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/____

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	76*-20 =	56	X \$ 18.00 =	\$1,008.00
	INDEPENDENT CLAIMS (37 cfr 1.16(b))	8-3 =	5	X \$ 78.00 =	\$ 390.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$260.00 =	\$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
Total of above Calculations =					\$2,348.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
TOTAL =					\$2,348.00

19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.


20. ☒ A check in the amount of \$2,348.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Leonard P. Diana (Reg. No. 29,296)
SIGNATURE	
DATE	January 6, 2000

MESOSTRUCTURED MATERIALS, SILICA MESOSTRUCTURED
MATERIALS, PREPARATION METHODS THEREOF AND CONTROL
METHOD OF MESOPORE ORIENTATION

5 BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to the application
of inorganic oxide porous materials used for catalysts,
adsorbents or the like, more specifically

10 mesostructured materials having a controlled mesopore
orientation and a preparation method thereof.

Related Background Art

Porous materials are widely utilized in various
purposes such as adsorption and separation. According
15 to IUPAC, the porous materials are classified into
three classes based on the pore size, i.e.,
microporous, mesoporous and macroporous materials
having the pore sizes of not more than 2 nm, 2 to 50 nm
and not less than 50 nm, respectively. In the
20 microporous materials, metal phosphates, zeolite such
as natural aluminosilicates and synthetic
aluminosilicates and the like are known. These are
utilized for selective adsorption, a shape-specific
catalytic reaction and a reactor of molecular size,
25 exploiting their pore size.

Since the maximum pore size of the reported
microporous crystals is about 1.5 nm, synthesis of

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solids having a larger pore size is an important issue for conducting adsorption and reaction with bulky compounds which can not be adsorbed into the micropores. Although silica gel, pillared clay or the like have been known as materials having such larger pores, the pore size distributes in a wide range and control of the pore size has been a problem.

In such a background, synthesis of the mesoporous silica having mesopores of the same size arranged in a honeycomb-like arrangement have been achieved almost simultaneously by two different methods. Namely, one mesoporous silica called MCM-41 was synthesized by hydrolyzing silicon alkoxide in the presence of surfactants (Nature, vol. 359, p. 710), and the other material called FSM-16 was synthesized by intercalating alkyl ammonium between layers of kanemite, a layered polysilicate (Journal of Chemical Society Chemical Communications, vol. 1993, p. 680). In both methods, it is considered that the surfactant assembly acts as a structure-directing agent of mesostructured silica. These substances are not only extremely useful as catalysts for bulky compounds which can not enter the zeolite pores, but also be applicable as functional materials such as optical and electrical materials.

When applying the mesoporous materials having such a regular porous structure to the field of functional materials other than catalysts, the technology for

retaining these materials uniformly on a substrate is important.

There are several methods for preparing a mesoporous thin film on a substrate, such as a spin coating method as described in Chemical Communications vol. 1996, p. 1149; a dip coating method as described in Nature, vol. 389, p. 364; a method based on the heterogeneous nucleation and growth on a solid surface as described in Nature, vol. 379, p. 703 or the like.

SUMMARY OF THE INVENTION

Conventional methods for preparing a mesostructured thin film have following problems. In the films of mesostructured material formed by spin-coating, the pore orientation is random. On the other hand, only the limited substrates such as cleaved mica and graphite can provide a mesostructured film with oriented mesopores by a method based on the heterogeneous nucleation and growth. Even on these substrate, minute areas of aligned mesopores are randomly present without overall control of the orientation of the mesopores on the substrate. Thus, the present inventors has reached to a conclusion that the technical development for controlling the orientation of the mesopores is essential to the application of the mesostructured materials for functional devices.

One object of the present invention is to provide a mesostructured material having an entirely new constitution which enables the development thereof to functional devices.

5 Another object of the present invention is to provide a mesostructured silica material having an entirely new constitution which enables the application thereof to functional devices.

10 Still another object of the present invention is to provide a method for preparing a mesostructured material having highly oriented tubular mesopores on a given substrate.

15 Still another object of the present invention is to provide a preparation method for a mesostructured silica material having highly oriented tubular mesopores on a given substrate.

 Still another object of the present invention is to provide a method for controlling the orientation of mesopores in a mesostructured material.

20 According to one aspect of the present invention, there is provided a mesostructured material having tubular mesopores, the mesostructured material being arranged on a polymeric surface constituted of a polymeric compound, wherein the tubular mesopores are
25 oriented towards a first direction parallel to the surface.

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According to one aspect of the present invention, there is provided a mesostructured silica arranged on a polymer material surface, in which chains of the polymer material are oriented to a first direction parallel to the polymer material surface, having tubular mesopores, wherein the tubular mesopores are oriented to a second direction nearly perpendicular to the first direction, and the oriented tubular mesopores are formed on the polymer material surface by locating silica outside of an oriented rod-like surfactant micelle structure of which orientation is determined by parallel accommodation of molecules of the surfactant on the chains of the polymer material through chemical interaction.

According to one aspect of the present invention, there is provided a process for forming a mesostructured silica having tubular mesopores comprising the steps of:

(i) providing a polymer material surface in which chains of the polymer material are oriented to a first direction parallel to the polymer material surface; and

(ii) forming a mesostructured silica having tubular mesopores on the polymeric surface, the mesopores being filled with a surfactant and oriented towards a second direction nearly perpendicular to the first direction, by forming an oriented rod-like surfactant micelle structure outside of which silica

locates on the polymer material surface, the orientation of the rod-like surfactant micelle structure being determined by parallel accommodation of molecules of the surfactant on the chains of the polymer material through chemical interaction.

According to one aspect of the present invention, there is provided a mesostructured material having tubular mesopores, the mesostructured material being arranged on a polymeric surface, wherein the tubular mesopores are oriented towards a prescribed direction parallel to the surface, the direction is determined by a direction of a rubbing treatment of the polymeric surface.

Such embodiments are expected to be a highly effective constituent in developing a functional device.

According to one aspect of the present invention, there is provided a process for controlling an orientation of tubular mesopores of a mesostructured material comprising the step of hydrolyzing an alkoxide while a polymeric surface which has been rubbed, is in contact with a solution containing a surfactant and the alkoxide.

According to one aspect of the present invention, there is provided a process for controlling an orientation of tubular mesopores of a mesostructured material comprising a step of hydrolyzing an alkoxide

while a polymeric surface constituted of a polymeric compound whose polymer chains have been oriented toward a prescribed direction parallel to the polymeric surface, is in contact with a solution containing a surfactant and the alkoxide.

According to these embodiments, the orientation of mesopores, which is considered to be extremely important for development of the mesostructured material to functional devices, can be readily controlled.

In the present invention, the mesostructured material includes not only those having hollow mesopores but also those having mesopores filled with the surfactant assembly. Such mesostructured materials become porous mesostructured materials by removing the surfactant from the mesopores to make them hollow.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic oblique view with a cross section of one embodiment of the mesostructured silica material of the present invention;

Fig. 1B is a schematic oblique view with a cross section of another embodiment of a mesostructured silica material of the present invention;

Fig. 2 is an explanatory diagram of an apparatus for LB film formation;

Fig. 3 is an explanatory diagram for a mechanism of mesostructured silica material formation in which mesopores are oriented on the LB film surface;

Fig. 4 is a schematic explanatory diagram of an apparatus for forming a mesostructured silica material of the present invention;

Figs. 5A and 5B are explanatory diagrams of the holding method of a substrate in a reaction solution;

Fig. 6 is a schematic diagram of the microscopic image of a mesostructured silica material prepared with 2 hr reaction in Example 1;

Fig. 7 is a schematic diagram of the microscopic image of the structure obtained in Comparative Example 1;

Fig. 8 is a schematic diagram illustrating the constitution of a supercritical drying apparatus which can be used for removal of the surfactant existing within mesopores;

Fig. 9 is a schematic diagram of the TEM image of the mesostructured silica material obtained in Example 1;

Fig. 10 is a schematic diagram of the microscopic image of the mesostructured silica material prepared by holding the substrate in the reactant solution for 2 hr in Example 4;

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Fig. 11 is a schematic diagram of the TEM image of the mesostructured silica material obtained in Example 4.

5 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Mesostructured Material

First Embodiment (arrangement of mesostructured material on polymer film with rubbing treatment)

Each of Fig. 1A and 1B is a schematic oblique view of the mesostructured material of one embodiment of the present invention. In Figs. 1A and 1B, reference numeral 11 is a substrate material, 12 is a polymer film and 14 is a mesostructured material arranged on the surface of the polymer film 12, and the mesostructured material 14 has tubular mesopores 13. Further, Fig. 1A shows a constitution where the surface of the film 12 is islanded with mesostructured material 14 and the surfactant exists in the mesopores 13. Fig. 1B shows a constitution in which the mesostructural material 14 exists on the surface of the film 12 and the materials in mesopore 13 has been removed. The mesostructured material 14 has the tubular mesopores 13 which are oriented to a prescribed direction (direction of arrow A in Figs. 1A and 1B) parallel to surface of the polymer film 12. The surface conditions of the film 12 contacting the mesostructured material 14 are very important for achieving such a constitution. The

mesostructured material having mesopores 13 aligned in a certain orientation can be formed on the surface having alignment-control ability, when an alkoxysilane is hydrolyzed while the surface is brought into contact with a solution containing the alkoxysilane and a surfactant.

Method for Controlling Surface Conditions of Polymer Film (1)

One method for controlling the surface conditions of the film 12 is a rubbing treatment. For example, the surface of the polymer film formed on a certain substrate material is rubbed in a certain direction with nylon cloth, velvet, or the like. When alkoxysilane on the treated surface is hydrolyzed in the presence of a surfactant by a conventional method, for example under acidic conditions, a mesostructured material having many tubular mesopores is formed on the surface. The tubular mesopores are oriented to a direction of rubbing.

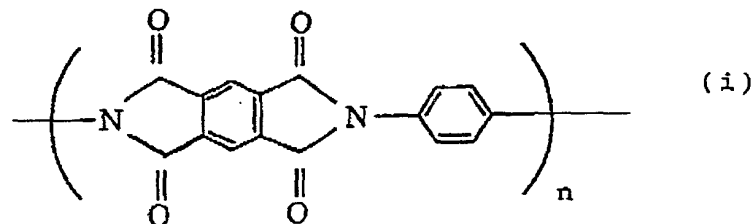
Rubbing Method and Conditions

The rubbing method and conditions therefor are not specifically defined. For example, methods and conditions used for the orientation of liquid crystal compounds can be appropriately applied. Followings are one example of specified conditions. A rubbing cloth

of nylon or velvet is wound around a roller of 24 mm diameter. The roller is pressed onto the polymer film surface to be treated to an extent that the roller bites into the film about 0.4 mm deep (hereinafter referred to as "press amount"), and rotated at 1,000 rpm. At the same time, the stage on which the substrate with the polymer film is placed is moved at 600 mm/sec to rub the film surface. This treatment may be performed either once or repeatedly.

Polymer Film Material

Materials of polymer film to be treated by rubbing are not especially limited. For example, materials those used as the films for liquid crystal alignment in the liquid crystal field can be preferably used in this embodiment of the present invention. For example, there are polyethylene-(CH₂CH₂)-, nylon 66[-NHC₆H₁₂NHCOC₄H₈CO-], nylon 69[-NHC₆H₁₂NHCOC₇H₁₄CO-], nylon 6 TPA[-NHC₆H₁₂NHCO-φ-CO-], polybutylene terephthalate[-C₄H₈OCO-φ-COO-], polyethylene terephthalate [-C₂H₄OCO-φ-COO-], polyimides [e.g., the following chemical formula (i)], polyesters and parylene polyparaxylylene or the like.



Substrate Material

In this embodiment, the surface-treated polymer film has been described as being retained on the substrate material. However, the polymer film itself
5 can be the substrate material in this embodiment. As described later, when calcination is used to remove the surfactant existing in the mesopores in the mesostructured material formed on the polymer surface, the polymer film is preferably provided on a substrate
10 material resistant to calcination. Such a substrate material includes silica glass, silicon or the like. In addition, the thickness of the polymer film formed on such a substrate material is not especially restricted and may be about 1 to 100 nm.

Method for controlling Surface Conditions of Polymer Film (2)

Another method for controlling the surface conditions of the polymer film 12 is to prepare the
20 polymer film by Langmuir-Blodgett (LB) method.

LB film is a film formed by transferring a monomolecular film developed on an aqueous surface onto the substrate and a film of any number of layers can be obtained by repeating this process. LB film in the
25 present embodiment includes, in addition to the above-mentioned LB film, LB films obtained by treating, for example, with heat, an LB film comprised of

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When forming an LB film on the substrate,
conventional methods can be used. The film formation
apparatus for LB films is illustrated in Fig. 2, where
the reference numeral 21 shows a water tank filled with
pure water 22 and the numeral 23 shows a fixed barrier
to which a sensor of surface pressure (not shown) is
attached. The monomolecular layer 26 on the water
surface is formed by dropping the solution containing
the object substance or precursor thereof onto the
water surface in the area defined by a movable barrier
24 and fixed barrier 23. A surface pressure is added
to the monolayer by moving the movable barrier 24. The
position of movable barrier 24 is controlled by the
surface pressure sensor so that a constant surface
pressure is given during the film formation onto the
substrate 11. Pure water 22 is constantly kept clean
by a water supplying apparatus and a drainage apparatus
(not shown). The water tank 21 has a cavity and the
substrate 11 is held in this position. The substrate is
moved up and down at a predetermined speed by a
translation apparatus (not shown). The film on aqueous
surface is transferred onto the substrate when the
substrate is entered into and drawn from the water.

By using such an apparatus, the LB film on the substrate to be used in the present invention is obtained as follows: a surface pressure is applied to the monomolecular layer developed on the water surface; the substrate 11 is moved up and down in the arrow B direction in Fig. 2; each time when the substrate 11 enters or comes out from the water the monomolecular layer is transferred on the substrate 11. The morphology and property of such a film are controlled by the surface pressure, the in/out moving speed of the substrate, and the number of the layers. The optimum surface pressure during film formation is determined based on the surface area-surface pressure curve, and generally is within the range of several mN/m to several tens mN/m. The moving speed of the substrate is generally set at several mm/min-several hundred mm/min. The above described method is generally used for the LB film formation but not limited to it. For example, it is possible to use a method utilizing the flow of water, the sub-phase.

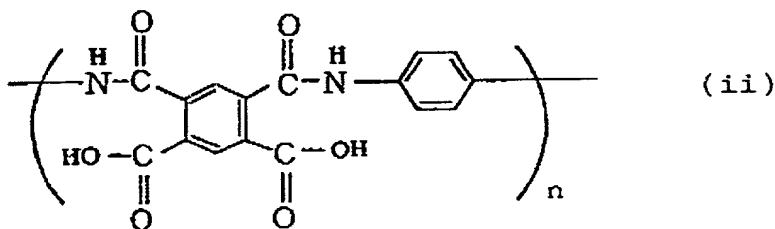
The material of the substrate on which the LB film is formed as mentioned above is not especially limited but it is preferable to use those stable to acidic conditions. For example, silica glass, ceramics, resin or the like are usable.

Various materials can be used as the material for the LB film in the present invention. It is preferable

to use a polymer LB film, in order to carry out the thin film formation of the uniaxially oriented mesostructured silica material on the substrate in good conditions by deposition of the mesostructured silica material on the substrate. By using polymer materials, a thin film of the mesostructured silica material can be formed on various substrates. Use of a polyimide LB film enables formation of the mesostructured silica material film having a good uniaxial orientation.

The reason why mesopores in the mesostructured material formed on the LB film of polyimide become oriented is not known, but presumed as follows. When the LB film of the polyamic acid with the following chemical formula (ii) is formed on a substrate and calcinated at 300°C for 30 min under a nitrogen gas atmosphere, the polyimide film of the chemical structure (i) is formed on the substrate.

[polyamic acid]



The orientation of the polymer chain in the polyimide LB film formed on the substrate in such a manner can be confirmed by a Fourier Transfer Infrared Spectrophotometer (FT-IR). From polarized infrared spectra of the polyimide film formed on a silicon

substrate, it was found that the polymer chains of the polyimide are oriented to a direction parallel to the withdrawal direction of the substrate during the LB film formation.

5 When the FT-IR spectrum is recorded using a polarized light parallel to the withdrawal direction, strong absorption is observed at near 1370 cm^{-1} and 1520 cm^{-1} assigned to the stretching vibration parallel to the molecular chain of the C-N bonds and the phenyl C-C
10 bonds respectively. On the other hand, when the FT-IR spectrum is recorded by using a polarized light vertical to the withdrawal direction, strong absorption is observed at near 1725 cm^{-1} assigned to the stretching vibration of C=O bonds vertical to the molecular chain.

15 When a mesostructured material is formed by hydrolysis of alkoxysilane in the presence of a surfactant, as described later, on the polyimide film in which the polymer chains are aligned in one direction (arrow C direction in Fig. 3) parallel to the
20 substrate surface, the amphiphilic surfactant molecules undergo chemical or physical interactions with the aligned surface polymer chains of the polyimide. Specifically, as illustrated in Fig. 3, the hydrophobic portion 31-1 of the surfactant 31 interacts chemically
25 with each hydrophobic portion of the polymer chain 30 of the polyimide oriented on the substrate, leading to the parallel accommodation of the surfactant to the

surface polymer chain. Consequently, the aligned
surfactant molecules determine the direction of the
rod-like micelle 32 on the surface. Silica (not shown)
exists around this micelle structure. Such micelle
5 structures 32 formed on the polymer film surface may
determine the orientation of the successively formed
rod-like micelle structure (not shown) by physical or
chemical interaction. Consequently, the whole rod-like
micelle structures are oriented to a direction (arrow D
10 direction in Fig. 3) perpendicular to the orientation
of the polymer chains of the polyimide. Thus, the
mesostructured silica material with the uniaxial pore
orientation is formed on the polyimide film surface.
In this mesostructured silica, the mesopores contain
15 the surfactant. This speculation is supported by the
experimental fact that the mesopores in the
mesostructured material formed on the substrate with
the polyimide LB film are aligned along a direction
perpendicular to the withdrawal direction of the
20 substrate in the LB film formation, that is, the
orientation of the polymer chains of the polyimide.

Preparation Apparatus

The reactor for forming the mesostructured
25 material of the present invention is explained
referring to Fig. 4. The material of the reactor 41 is
not specifically defined so long as it is resistant to

chemicals, specifically to acids, and polypropylene,
polyfluoroethylene (commercial name: Teflon) or the
like can be used. In the reactor 41, a substrate
holder 43 made of an acid-resistant material is placed
5 to hold the substrate having the above mentioned
rubbing-treated polymer film or LB film on the surface.
In Fig. 4, the substrate 11 having a film 12 thereon is
held horizontally, but not limited thereto. In Fig. 4,
the reference numeral 42 denotes a lid made of an acid-
10 resistant material and also 44 denotes a sealing
material (O ring or the like) to increase air tightness
between the lid and the reactor 41.

The substrate is generally held in a solution 51
containing a surfactant and alkoxysilane as shown in
15 Fig. 5A. The mesostructured material of the present
invention can be formed when the substrate is held as
shown in Fig. 5B so long as the substrate surface
holding the rubbing-treated polymer film or LB film is
in contact with the reactant solution. Further, the
20 reactor may be put into a sealed container made of more
rigid material such as stainless steel not to be
destroyed even under pressure during the reaction.

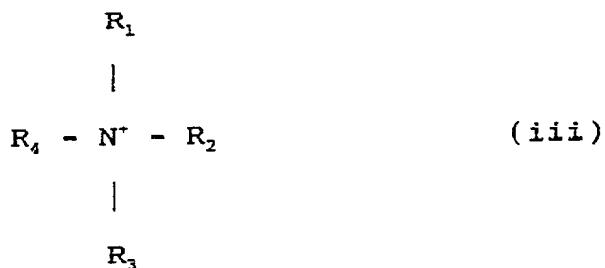
In the present embodiment, a solution used for
forming the mesostructured silica material is, for
25 example, an aqueous mixture solution of an alkoxide of
silicon (alkoxysilane) such as tetramethoxysilane and
tetraethoxysilane and a surfactant of which pH is

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adjusted to lower than 2 (the isoelectric point of SiO_2) by mixing an acid such as hydrochloric acid.

Surfactant

5 The surfactant is appropriately selected from cationic surfactants such as quaternary alkylammonium salts, nonionic surfactants such as alkylamine and those containing polyethylene oxide as the hydrophilic group. The length of the surfactant molecule is
10 determined according to the desired pore size of the object mesostructure. Further, an additive such as mesitylene may be added to enlarge a micelle size of the surfactant. As an example of a quaternary alkyl ammonium salt surfactant, the compound represented as
15 the following chemical formula (iii) is preferably used:



20 wherein R_1 to R_3 are independently methyl or ethyl, and R_4 is a C10 to C18 straight alkyl group, and X is a
25 counter anion such as chlorine and bromine.

SiO_2 precipitates slowly under acidic conditions, especially near at the isoelectric point, unlike under

basic conditions where it precipitates instantaneously after the addition of alkoxide.

The substrate must be treated for orientation prior to the use. The substrate material is not specifically limited, but those stable under acidic conditions are preferable, such as silica glass, ceramics, and resins.

The mesostructured silica can be deposited on the substrate under these conditions. The temperature of deposition is not specifically limited and is selected within the temperature range from room temperature to about 100°C. The reaction time is within the range from several hours to several months. The shorter the reaction time is, the thinner mesostructured silica material is formed.

The mesostructured silica material formed on the substrate in such a way is dried in air after washing with pure water.

The mesopores in the mesostructured silica material thus obtained are filled with the surfactant, and the mesoporous structure having hollow mesopores can be obtained by removing the surfactant. Removal method of the surfactant can be selected from calcination, solvent extraction, liquid extraction under supercritical conditions or the like. For example, the surfactant can be thoroughly removed from the mesostructured material by calcining at 550°C for

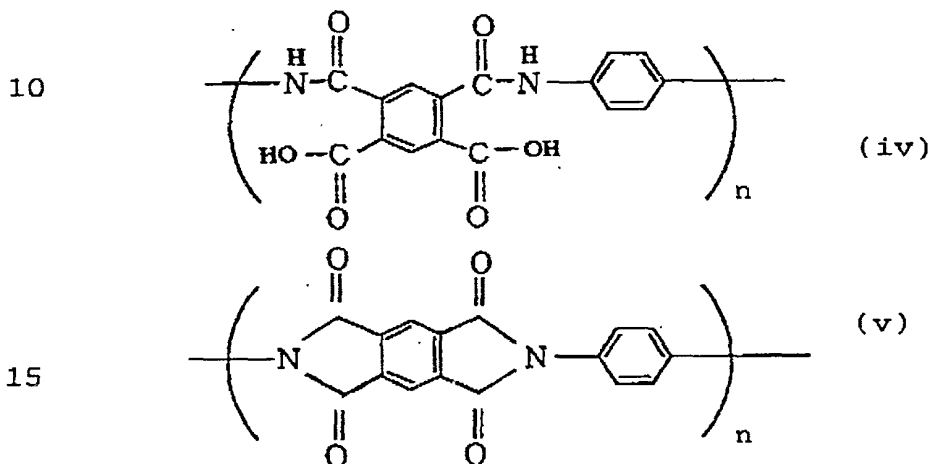
Solvent extraction may be employed to remove the surfactant in the mesopores, and the solvent extraction enables to use substrates which would be deteriorated by calcination, although 100% removal of the surfactant is difficult.

As described above, according to the embodiments of the present invention, the mesostructured material in which the mesopores are uniaxially oriented can be formed on an optional substrate. Further, according to the embodiments of the present invention, orientation of the mesopores in the mesostructured material can be controlled. Furthermore, by using these embodiments, development of the mesostructured material to the functional devices is expected.

[Example 1]

25 In this example, an oriented mesostructured material was prepared using a substrate subjected to a rubbing orientation treatment of the polymer thin film.

A silica glass substrate was washed with acetone, isopropyl alcohol and pure water, and the surface was cleaned in an ozone generator. Then the NMP solution of polyamic acid A having the structure represented by the following chemical formula (iv) was spin-coated on the substrate, followed by a thermal treatment at 200°C for one hour to convert to polyimide A represented by the following chemical formula (v).



Then, the substrate thus formed was treated by rubbing under the following conditions:

Rubbing conditions for polyimide A:

- 20 Cloth material: nylon
Roller size: 24 mm
Press amount: 0.4 mm
Rotation speed: 1,000 rpm
Stage movement: 600 mm/s
25 Repetition: twice.

An acidic solution of surfactant was prepared by dissolving 2.82 g of cetyltrimethylammonium chloride in

108 ml of pure water and adding 48.1 ml of 36% hydrochloric acid to it followed by stirring for 2 hr. Then, 1.78 ml of tetraethoxysilane (TEOS) was added to this solution, stirred for 2.5 min, and put into a

5 Teflon container of the constitution shown in Fig. 4 having the substrates held in the substrate holders to soak the substrates in the solution. The molar ratio of the final solution was H_2O 100 : HCl 7 :

cetyltrimethylammonium chloride 0.11 : TEOS 0.10. The

10 container was covered with the lid and sealed by a sealing stainless container, and placed in an oven at $80^\circ C$. The reaction time was set to 2 hr and 2 weeks.

The substrate in contact with the reactant solution for a predetermined time was taken out from

15 the container, washed well with pure water, and then dried in air at room temperature.

The microscopic morphology of the substrate after 2 hr reaction is schematically shown in Fig. 6 (plan view). As shown in this figure, on the substrate

20 having the rubbing-treated polymer film, each particle appears to be elongated to the rubbing direction, thus the direction of the mesostructured silica particles was controlled by alignment-controlling ability of the substrate. The width in the short axial direction of

25 each particle represented as w in Fig. 6 was 1 to 2 μm .

Almost similar morphology of the mesostructured material was confirmed on the substrate contacted with

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the reactant solution for 2 weeks. Compared with the substrate of 2 hr reaction, many mesostructured materials were observed and the height of each particle tended to be high.

5 The mesostructured silica formed on the substrate was analyzed by X-ray diffraction. As a result, a strong diffraction peak with a 3.68 nm d-spacing, assigned as (100) of the hexagonal structure, was observed, and it was confirmed that the mesostructured
10 silica material has the hexagonal pore structure. Since no diffraction peak was observed in the wide angle region, it was found that silica composing the wall is amorphous.

 The substrate with this mesostructured silica was
15 placed in a muffle furnace and calcined in air at 550 °C for 10 hr. The heating rate was 1°C/min. No significant morphological differences were observed in the mesostructured silicas before and after the calcination. The X-ray diffraction analysis of the
20 mesostructured silica material after calcination showed a strong diffraction peak with a 3.44 nm d-spacing. Thus it was confirmed that the hexagonal pore structure was retained after calcination. No diffraction peaks were observed in the wide angle region, confirming that
25 the silica wall was still amorphous. Furthermore, the analysis by infrared spectroscopy confirmed that this

sample after calcination contains no organic components derived from the surfactant.

5 The mesostructured silica before and after calcination was sliced perpendicularly to the rubbing direction using a focused ion beam (FIB), and the cross section was observed by transmission electron microscopy. In both cases, the pores of the hexagonal structure were observed in the cross section to confirm that the mesopores were oriented to the rubbing
10 direction. The schematic view of the cross section is illustrated in Fig. 9.

Adhesion of the mesoporous silica particles to the substrate is highly improved by calcination and the falling off did not occur even when rubbed with cloth
15 after calcination. This is considered that quartz substrate and the mesoporous silica layer are partially bonded by dehydrating condensation of silanols.

In this Example, mesostructured silica of similar structure could be formed when the rubbing treated
20 surface was held to be in contact with the solution surface instead of holding the substrate in the solution.

[Comparative Example 1]

25 By using clean silica glass substrates without the polyimide film and silica glass substrates having a polyimide A film without the rubbing treatment, the

mesostructured silica material was formed on the substrates by the same procedure as in Example 1.

After 2 week reaction period, the substrates were taken out from the reactor vessel and washed with pure water and dried in air.

The microscopic morphology of the mesostructured material formed on the silica glass substrate without the polyimide film is schematically illustrated in Fig. 7. As shown in this figure, the substrate was fully covered with the disk-like particles 71 of about 1 μm particle size.

The X-ray diffraction analysis of this film shows the almost same result as with the structure formed on the oriented polyimide film in Example 1. Thus, the mesostructure material has deposited but without no orientation.

When the mesostructured material was formed on the polyimide film with no rubbing treatment, the morphology of the mesostructured material was the same as that observed with the silica glass substrate with no polyimide film, but with a smaller particle coverage. From this fact, it was confirmed that the orientation of the mesostructured material on the substrate as shown schematically in Fig. 6 achieved in Example 1 is contributed to the rubbing treatment.

[Example 2]

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This Example 2 is a preparation example of the mesoporous silica where the surfactant is removed by solvent extraction from the mesostructured silica formed on the substrate. By using the silica glass substrate on which polyimide A film was formed and rubbed as in Example 1, the mesostructured silica material was deposited on the substrate by using the same solvent composition and the same procedure as in Example 1.

When this mesostructured silica material is soaked in ethanol at 70°C for 24 hr, the surfactant more than 90% was removed from mesostructured silica material by one extraction. When extraction was carried out twice, the surfactant more than 95% was removed from the sample. After extraction, the thin film was dried to remove ethanol and the mesoporous silica was obtained.

This method of removing the surfactant micelles by solvent extraction used in Example 2 is an effective method for removing the surfactant from the mesostructured silica formed on the substrate such as resin that is labile to the heat treatment under an oxidative atmosphere, although complete removal of the surfactant is difficult. This method has an advantage that silanol groups are retained in the prepared mesoporous silica at a high level, compared with the calcination treatment used in Example 1.

[Example 3]

Further, mesoporous silica film can be obtained without damaging the mesostructure by drying with supercritical fluid, since no stress is applied during the drying process. Furthermore, compared with the calcination method for removing the surfactant within the mesopores, this method has an advantage that silanol groups are retained in the prepared mesoporous silica at a high level.

Fig. 8 shows a CO₂ cylinder 81, a chiller 82, a pump 83, a preheater 84, an extractor 85, a heater 86, a separator 87, a gas meter 88 and a bulb 89.

[Example 4]

Example 4 is an example where: the polyimide LB film is formed on the surface of the silica glass substrate; the mesostructured silica material is formed on the substrate; and then the mesopores are hollowed by removing the surfactant assembly from the mesostructured silica material by calcination. First, the polyimide LB film is formed on the surface of the silica glass substrate as follows. N, N-dimethylhexadecylamine salt of polyamic acid was prepared by mixing the polyamic acid represented by aforementioned chemical formula (iv) and N, N-dimethylhexadecylamine in a molar ration of 1 : 2. This was dissolved in N, N-dimethylacetoamide to make a 0.5 mM solution and this solution was dropped onto the

water surface in an LB film forming apparatus kept at 20°C. The unimolecular film formed on the water surface was transferred onto the substrate at dipping rate of 5.4 mm/min under a constant surface pressure of 30 mN/m. In this case, the used substrate was a silica glass substrate that had been washed with acetone, isopropyl alcohol and pure water followed by surface cleaning in an ozone generator and then subjected to the hydrophobic treatment. After forming 30 layers of the polyamic acid alkylamine salt LB film under the above condition, the film was baked at 300°C for 30 min under nitrogen gas flow to convert into the polyimide LB film represented by the above chemical formula (v). Imidation by cyclodehydration of polyamic acid and elimination of alkylamine were checked by infrared spectroscopy. Further, it was confirmed by FT-IR that the main chains of the polyimide were aligned in the same direction as the moving direction of the substrate during the LB film formation.

Next, on the silica glass substrate on which the polyimide LB film was thus provided, the mesostructured silica was formed as follows.

First, 2.82 g of cetyltrimethylammonium salt was dissolved in 108 ml of pure water, then by adding 48.1 ml of 36% hydrochloric acid and stirred for 2 hr to prepare an acidic solution of the surfactant. Next, to this solution was added 1.78 ml of tetraethoxysilane

(TEOS) stirred for 2.5 min. This solution was put into a Teflon reactor having the constitution represented in Fig. 4 in which the substrates were held in the substrate holders to soak the substrates in the reactant solution. In this process, the substrates were held with the surface having the LB film downward. The final composition of the reactant solution used in the present Example was $H_2O : HCl :$

cetyltrimethylammonium chloride : TEOS = 100 : 7 : 0.11 : 0.10 in a molar ratio. The reactor was closed with a lid and sealed by a sealing stainless container, and placed in an oven at 80°C. The reaction time was set to 2 hr and 2 weeks. The substrate in contact with the reactant solution for a predetermined time was taken out from the container, then well washed with pure water, and dried in air at room temperature.

The microscopic morphology of the substrate after 2 hr reaction is schematically shown in Fig. 10. As shown in this figure, each particle are elongated along one axis, thus the growth direction of the particles was controlled by alignment-controlling ability of the substrate. The width in the short axial direction of each particle represented as w in Fig. 10 was 1 to 2 μm .

Almost similar morphology of the mesostructured material was observed on the substrate contacted with the reactant solution for 2 weeks. Compared with the

substrate of 2 hr reaction, many mesostructured materials were observed and the height of each particle tended to be high. The mesostructured silica formed on the substrate was analyzed by X-ray diffraction. As a
5 result, a strong diffraction peak with a 3.74 nm d-spacing, assigned as (100) of the hexagonal mesostructure, was observed, and it was confirmed that the mesostructured silica material has a hexagonal pore structure. Since no diffraction peak was observed in
10 the wide angle region, it was found that silica composing the wall is amorphous.

Next, the substrate with this mesostructured silica material was placed in a muffle furnace and calcined in air at 550°C for 10 hr. The heating rate
15 was 1°C/min. No significant morphological differences were observed in the mesostructured silicas before and after the calcination. The X-ray diffraction analysis of the mesostructured silica material after calcination showed a strong diffraction peak with a 3.46 nm d-
20 spacing. Thus it was confirmed that the hexagonal pore structure was retained after calcination. No diffraction peaks were observed in the wide angle region, confirming that the silica wall was still amorphous. Furthermore, analysis of the infrared
25 absorption spectrum confirmed that this sample after calcination contains no organic components derived from the surfactant.

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film was provided on a silica glass substrate. Then the mesostructured silica film of a uniaxial pore orientation was formed on the substrate by using the same reactant solution and the same procedure as in
5 Example 4. When this mesostructured silica is soaked in ethanol at 70°C for 24 hr, the surfactant more than 90% was removed from mesostructured silica by one extraction. When extraction was carried out twice, the surfactant more than 95% was removed from the sample.
10 After extraction, the sample was dried to remove ethanol and the mesoporous silica was obtained.

This method of removing the surfactant micelles by solvent extraction used in Example 5 is an effective method for removing the surfactant from the
15 mesostructured silica formed on the substrate such as resin that is labile to the heat treatment under an oxidative atmosphere, although complete removal of the surfactant is difficult. This method has an advantage that silanol groups are retained in the prepared
20 mesoporous silica at a high level, compared with the calcination treatment used in Example 4.

[Example 6]

The present Example is a preparation example of
25 the mesoporous silica thin film where the surfactant is removed by critical fluid extraction from the mesostructured silica formed on a substrate.

First, according to the same procedure as Example 4, a 30 layers of polyimide A LB film was provided on a silica glass substrate. Then the mesostructured silica film with a uniaxial pore orientation was formed on the substrate by using the same reactant solution and the same procedure as in Example 4.

Then the liquid phase in this mesostructured material was fully replaced with ethanol by soaking it in ethanol. In this case, as described in Example 2, the surfactant eluates into ethanol. Thereafter, the mesostructured silica was placed in a supercritical drying apparatus having a constitution as shown in Fig. 8, then extraction of the organic substances was performed using carbon dioxide as a fluid under supercritical conditions of 31°C and 72.8 atm. The analysis by infrared spectroscopy confirmed that the organic substances did not remain in the mesoporous silica after drying under the supercritical condition, that is, the surfactant was completely removed.

This method requires a more complicated apparatus than that described in Example 5, but enables complete removal of the surfactant at a lower temperature.

Further, mesoporous silica can be obtained without damaging the mesostructure by drying with supercritical fluid, since no stress is generated during the drying process. Furthermore, compared with the calcination method for removing the surfactant within the

mesopores, this method has an advantage that silanol groups are retained in the prepared mesoporous silica at a high level.

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7. The mesostructured material according to claim 1, wherein the polymeric surface has been rubbed in a prescribed direction.

5 8. The mesostructured material according to claim
7, wherein the prescribed direction is the same
direction as the first direction.

9. The mesostructured material according to claim 1, wherein the polymeric surface contains at least one polymer selected from the group consisting of polyethylene, nylon, polybutylene terephthalate, polyethylene terephthalate, polyester, polyimide and parylene polyparaxylilene.

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10. The mesostructured material according to claim 9, wherein the polymeric surface contains polyimide.

20 11. The mesostructured material according to claim 1, wherein the polymeric surface is constituted of a polymeric film arranged on a substrate, and the mesostructured material is formed on a free surface of the polymeric film.

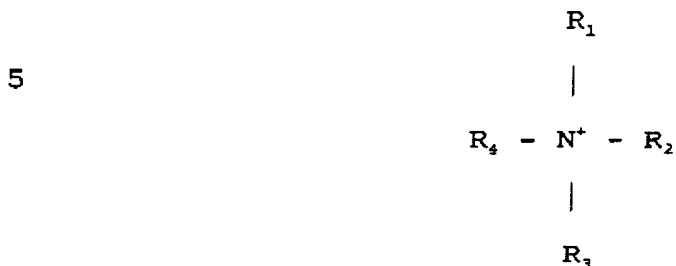
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13. A mesostructured silica arranged on a polymer material surface, in which chains of the polymer material are oriented to a first direction parallel to the polymer material surface, having tubular mesopores, wherein the tubular mesopores are oriented to a second direction nearly perpendicular to the first direction, and the oriented tubular mesopores are formed on the polymer material surface by locating silica outside of an oriented rod-like surfactant micelle structure of which orientation is determined by parallel accommodation of molecules of the surfactant on the chains of the polymer material through chemical interaction.

14. The mesostructured silica according to claim
20 13, wherein the surfactant is a cationic surfactant or
nonionic surfactant.

15. The mesostructured silica according to claim
14, wherein the cationic surfactant is a quaternary
25 alkylammonium salt.

16. The mesostructured silica according to claim 15, wherein the quaternary alkylammonium is represented by the following structural formula:



10 wherein R_1 to R_3 are independently a methyl group or ethyl group and R_4 is a C10 to C18 straight chained alkyl group.

15 17. The mesostructured silica according to claim 16, wherein the R_4 is a C12 to C16 straight chained alkyl group.

20 18. The mesostructured silica according to claim 14, wherein the nonionic surfactant is an alkylamine or a surfactant containing polyethylene oxide as a hydrophilic group.

25 19. The mesostructured silica according to claim 13, wherein the polymer material surface is comprised of a Langmuir-Blodgett film.

20. The mesostructured silica according to claim 13, wherein the polymer material is at least one

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polymer selected from the group consisting of
polyethylene, nylon, polybutylene terephthalate,
polyethylene terephthalate, polyester, polyimide and
parylene polyparaxylylene.

5

21. The mesostructured silica according to claim
20, wherein the polymer material is polyimide.

22. The mesostructured silica according to claim
10 13, wherein the mesopores are hollow.

23. The mesostructured materials according to
claim 13, wherein the polymer material surface is
constituted of a film of the polymer material, the film
15 being arranged on a substrate, and the substrate is
made of silicon oxide.

24. A process for forming a mesostructured
material having tubular mesopores comprising steps of:
20 (i) providing a polymeric surface subjected to an
alignment control treatment; and

(ii) bringing the polymeric surface into contact
with a solution containing a surfactant and an
alkoxide, hydrolyzing the alkoxide and forming the
25 mesostructured material on the surface.

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(ii) forming a mesostructured silica having tubular mesopores on the polymeric surface, the mesopores being filled with a surfactant and oriented towards a second direction nearly perpendicular to the first direction, by forming an oriented rod-like

surfactant micelle structure outside of which silica
locates on the polymer material surface, the
orientation of the rod-like surfactant micelle
structure being determined by parallel accommodation of
5 molecules of the surfactant on the chains of the
polymer material through chemical interaction.

35. The process according to claim 34, wherein
the surfactant is a cationic surfactant or nonionic
10 surfactant.

36. The process according to claim 35, wherein
the cationic surfactant is a quaternary alkylammonium
salt.
15

37. The process according to claim 36, wherein
the quaternary alkylammonium is represented by the
following structural formula:



wherein R_1 to R_3 are independently a methyl group or
25 ethyl group and R_4 is a C10 to C18 straight chained
alkyl group.

38. The process according to claim 37, wherein R_4 is a C12 to C16 straight alkyl group.

39. The process according to claim 35, wherein
5 the nonionic surfactant is an alkylamine or a surfactant containing or polyethylene oxide as a hydrophilic group.

40. The process according to claim 34, wherein
10 the step (i) is a step of providing a Langmuir-Blodgett film of a polymer compound on a prescribed substrate.

41. The process according to any one of claims 34
15 to 40, wherein the polymer material is at least a polymer selected from the group consisting of polyethylene, nylon, polybutylene terephthalate, polyethylene terephthalate, polyester, polyimide and parylene polyparaxylilene.

20 42. The process according to claim 41, wherein the polymer material is polyimide.

43. The process according to claim 34, wherein
25 the step (ii) is a step of hydrolyzing an alkoxysilane while the surface of the polymeric compound is in contact with a solution containing a surfactant and the alkoxysilane.

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5 45. The process according to claim 44, wherein
the surfactant is removed by calcining the
mesostructured silica obtained in the step (ii).

47. The process according to claim 44, wherein
the surfactant is removed from the mesostructured
15 material obtained in the step (ii) by critical fluid
extraction.

25 49. The mesostructured material according to
claim 48, wherein the rubbing direction is identical
with the prescribed direction.

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54. A process for controlling an orientation of tubular mesopores of a mesostructured material

comprising a step of hydrolyzing an alkoxide while a polymeric surface constituted of a polymeric compound whose polymer chains have been oriented towards a prescribed direction parallel to the polymeric surface, is in contact with a solution containing a surfactant and the alkoxide.

[illegible]

ABSTRACT OF THE DISCLOSURE

A mesostructured material having plural tubular mesopores which is arranged on a polymer surface characterized in that the mesopores are oriented to one direction parallel to the surface. The mesostructured material can be developed to functional devices.

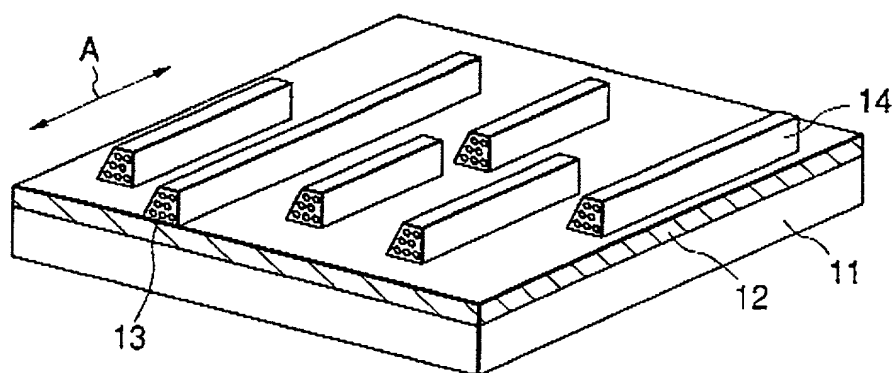
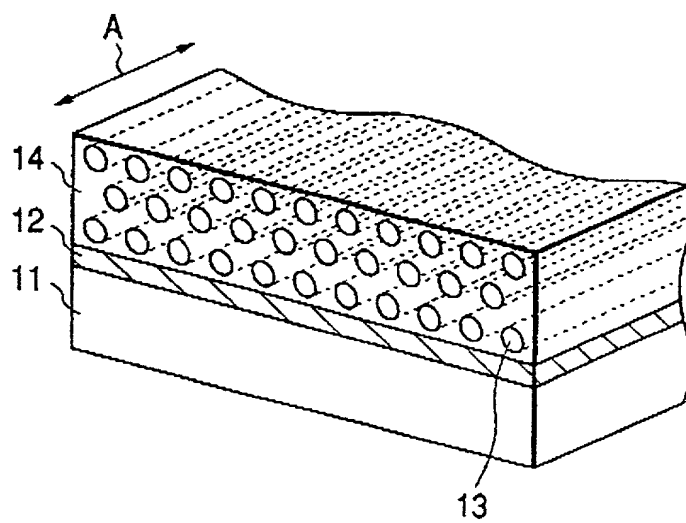
FIG. 1A*FIG. 1B*

FIG. 2

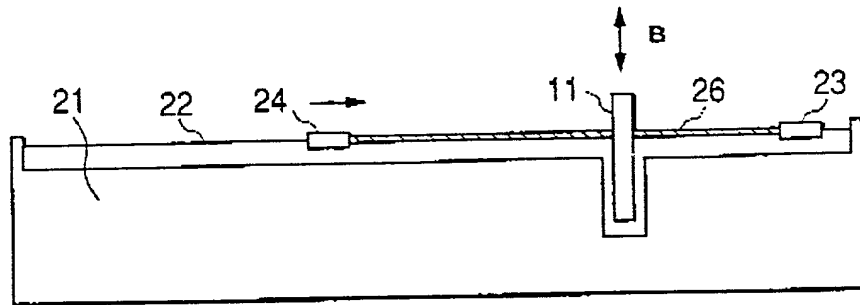


FIG. 3

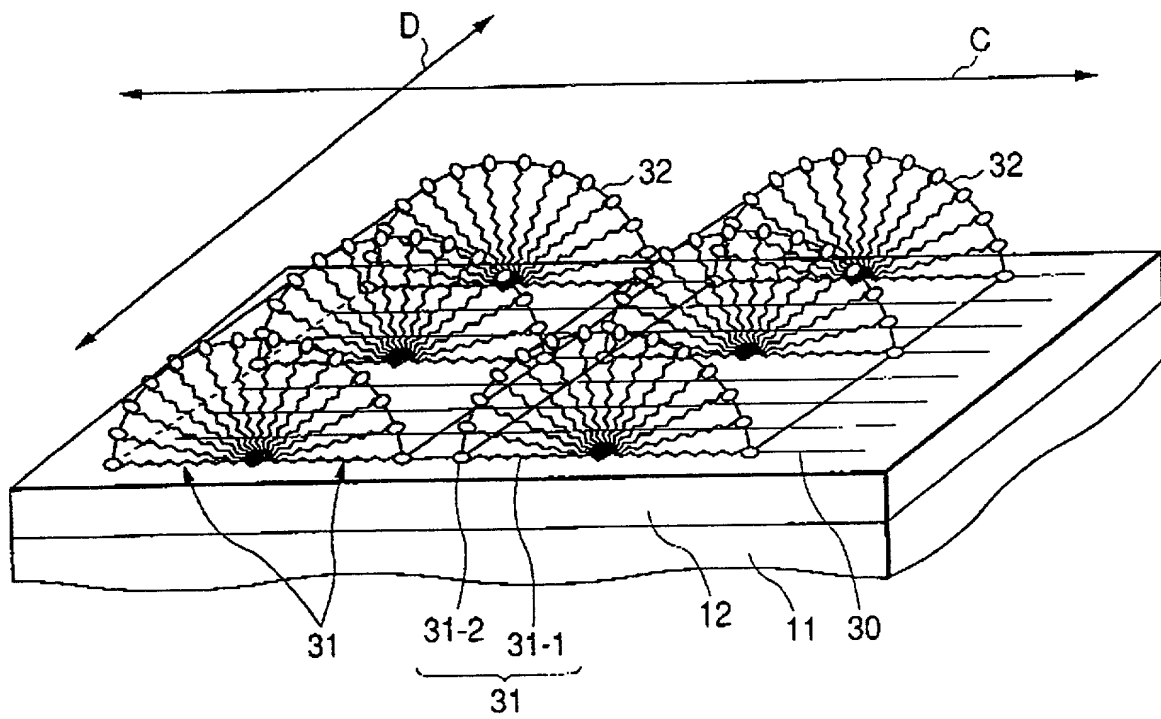


FIG. 4

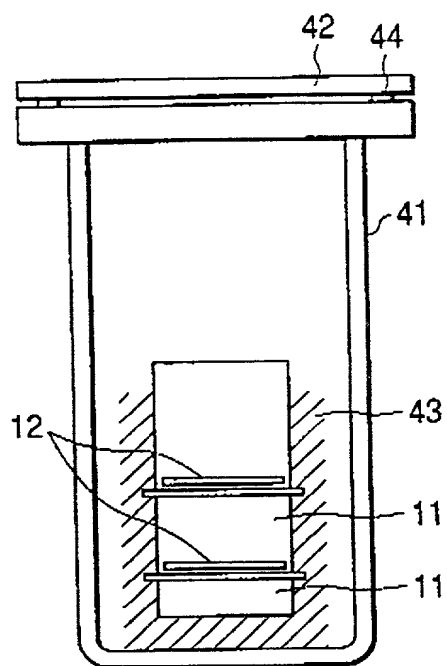


FIG. 5A

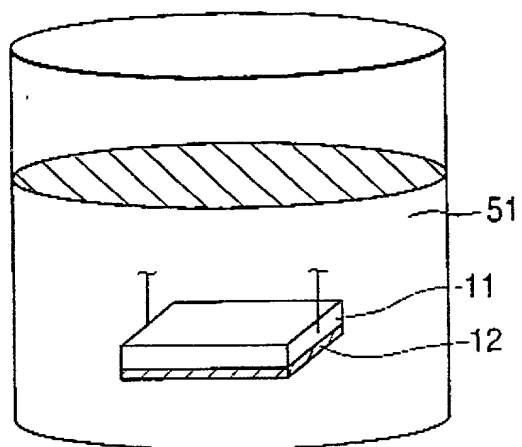


FIG. 5B

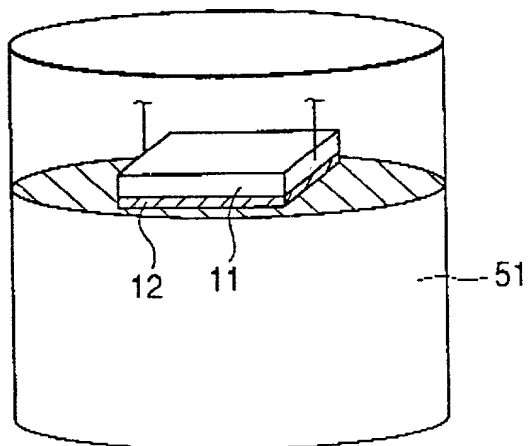


FIG. 6

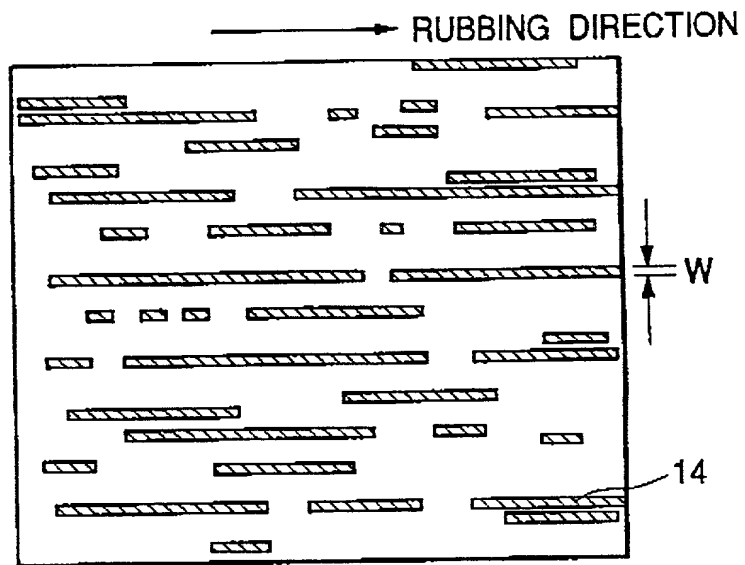
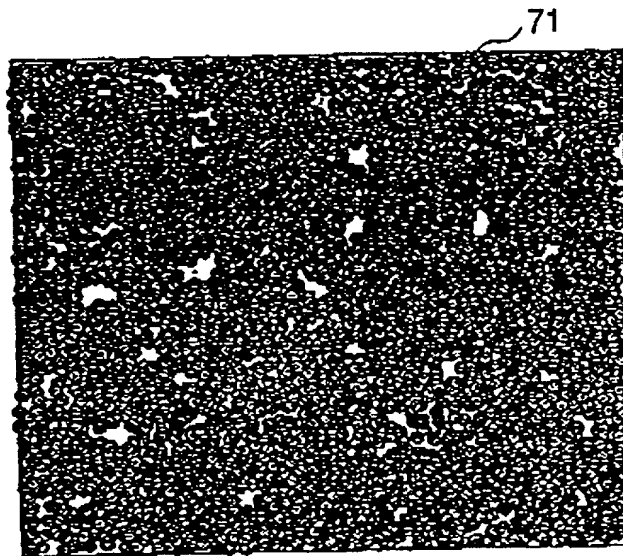


FIG. 7



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FIG. 8

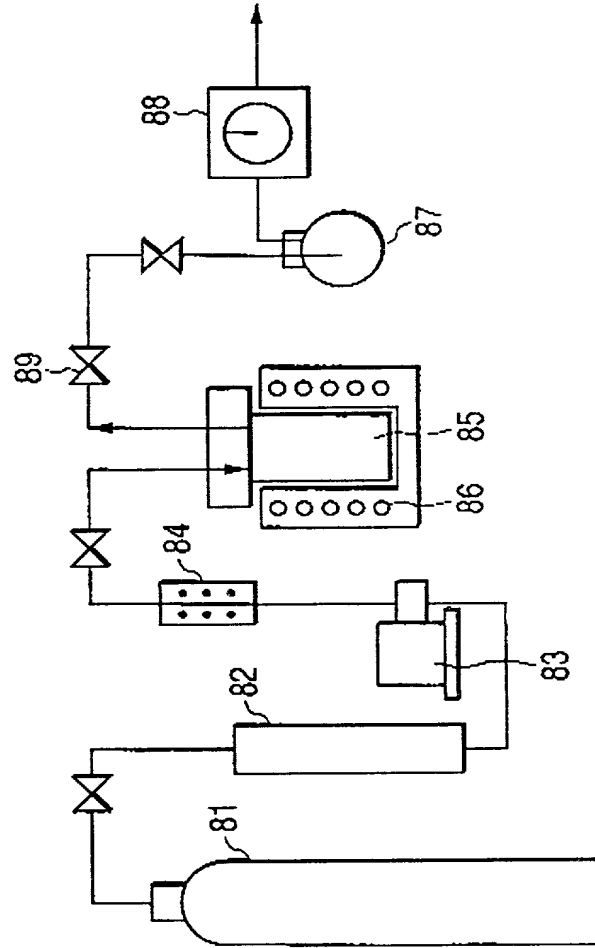


FIG. 9

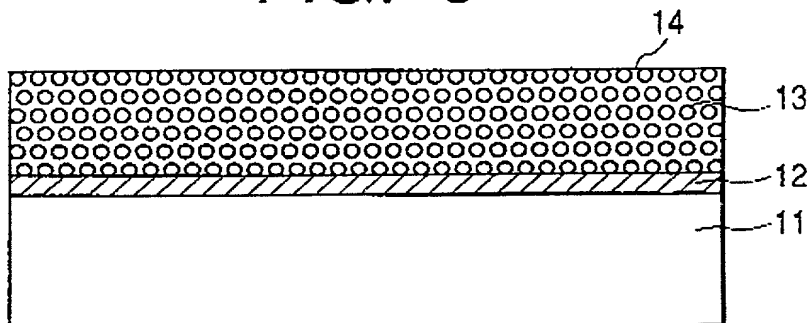


FIG. 10

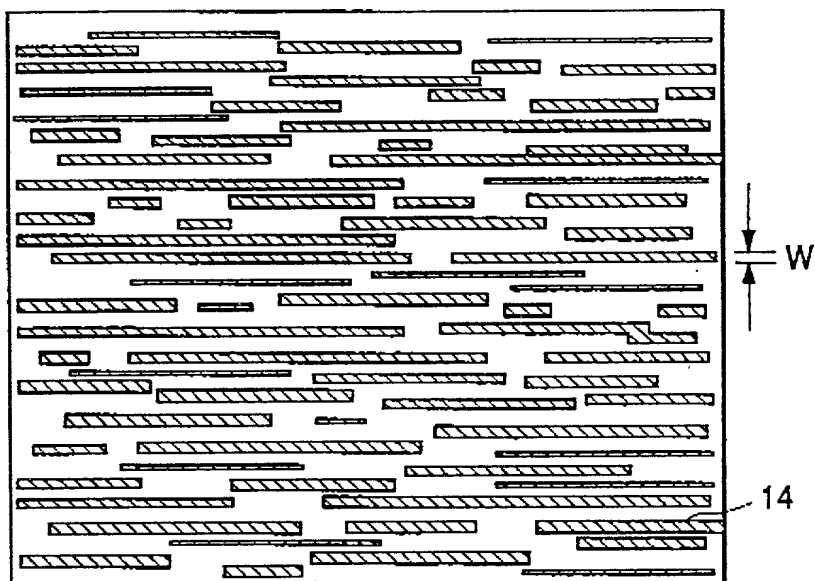
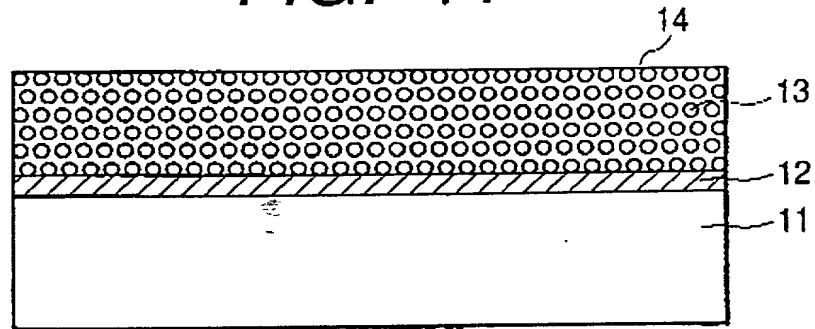


FIG. 11

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

(Page 1)

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled _____

MESOSTRUCTURED MATERIALS, SILICA MESOSTRUCTURED MATERIALS, PREPARATION METHODS THEREOF AND CONTROL METHOD OF MESOPORE ORIENTATION

the specification of which ☒ is attached hereto ☐ was filed on _____ as United States Application No. or PCT International Application No. _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Country	Application No.	Filed (Day/Mo./Yr.)	(Yes/No) Priority Claimed
Japan	11-001860	January 7, 1999	Yes
Japan	11-172677	June 18, 1999	Yes

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application No.	Filed (Day/Mo./Yr.)	Status (Patented, Pending, Abandoned)
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I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

FITZPATRICK, CELLA, HARPER & SCINTO
Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor KAZUYUKI KURODA

Inventor's signature _____

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(Page 2)

Ohta-ku, Tokyo, Japan

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